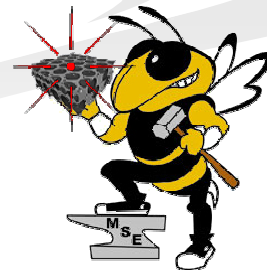


# Highly Tunable Photonic Band Gap in Inverse Non-Close-Packed Shell Structures

**Davy P. Gaillot, Elton Graugnard, Jeffrey S. King and  
Christopher J. Summers**

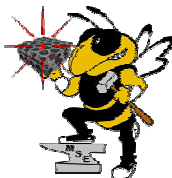
**School of Materials Science and Engineering  
Georgia Institute of Technology  
Atlanta, Georgia 30332-0245**

**IEEE/LEOS 18<sup>th</sup> Annual Meeting  
Sydney, Australia  
25<sup>th</sup> October, 2005**



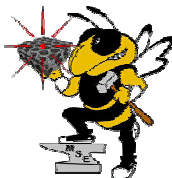
# Outline

- **Challenges for 3D PC**
- **Background**
  - **Inverse Shell Opals**
    - Optical Properties & Limitations
- **Inverse Non-Close-Packed Opals**
  - **Conformal Sacrificial Layer/Backfill Thru ALD**
    - Experimental Achievement
  - **Structures Modeling**
    - PBG Mechanisms
    - PBG Width & Refractive Index Requirement (RIR)
    - PBG Tunability (Width & Location)
- **Conclusions**

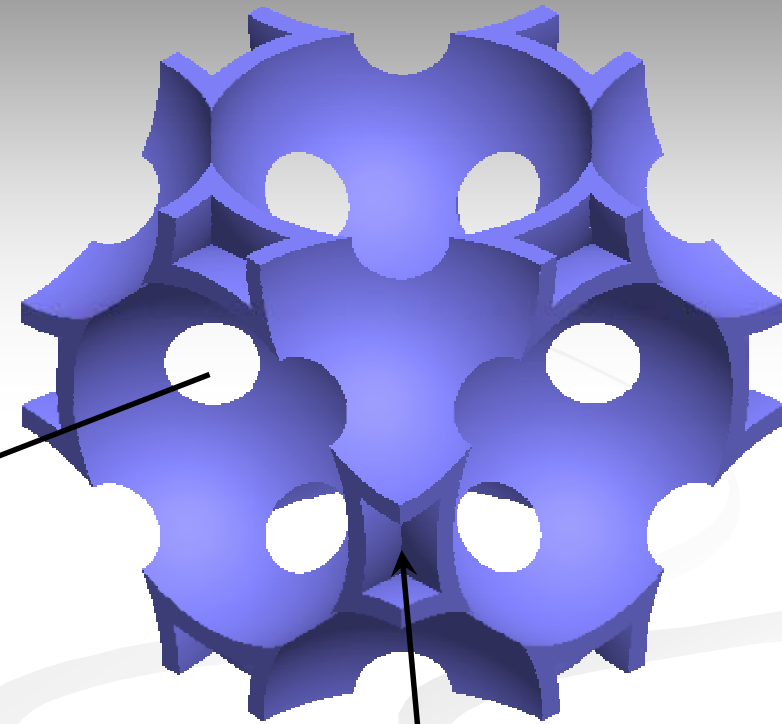
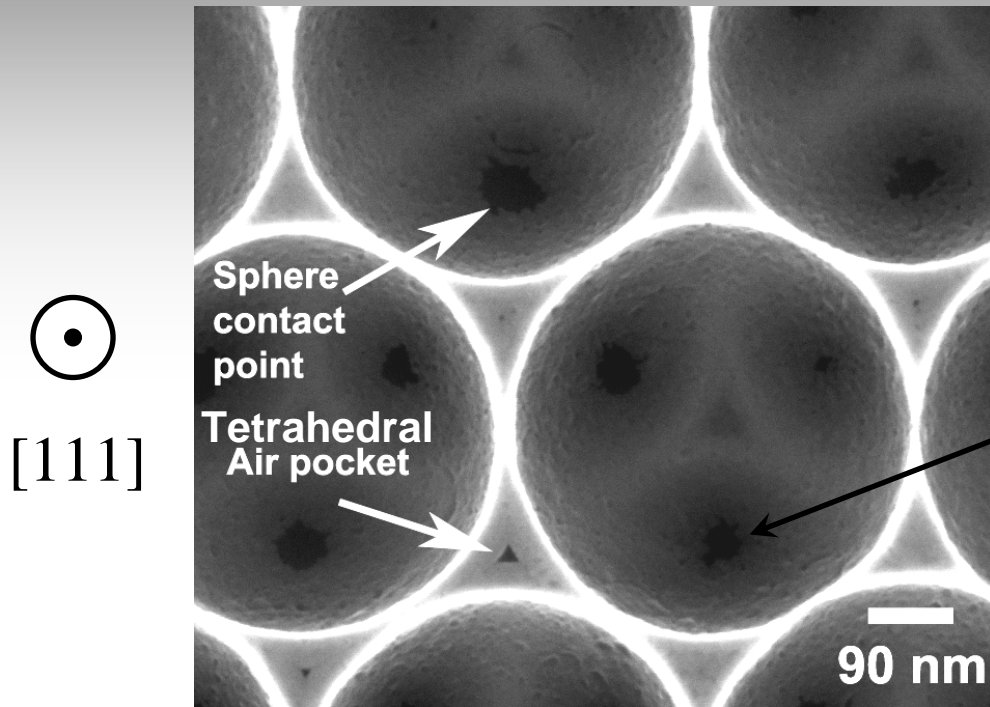


# Challenges

- **Design 3D Photonic Crystal with:**
  - Largest complete PBG possible for a given material
  - Lowest refractive index requirement (RIR)
  - PBG tunability over a wide spectral region
  - Easy implementation into electro-optic devices
- **The fabrication process should be:**
  - Reasonably simple to implement & reproduce
    - Bottom-up (Self-assembly) - Top-down (ALD/CVD)
  - Low-cost, Reliable & Applicable to large scale devices
- **Applications for 3D luminescent devices:**
  - Phosphors, QD in Micro-Cavities



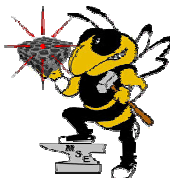
# Inverse Shell Opals



**Octahedral air pocket**

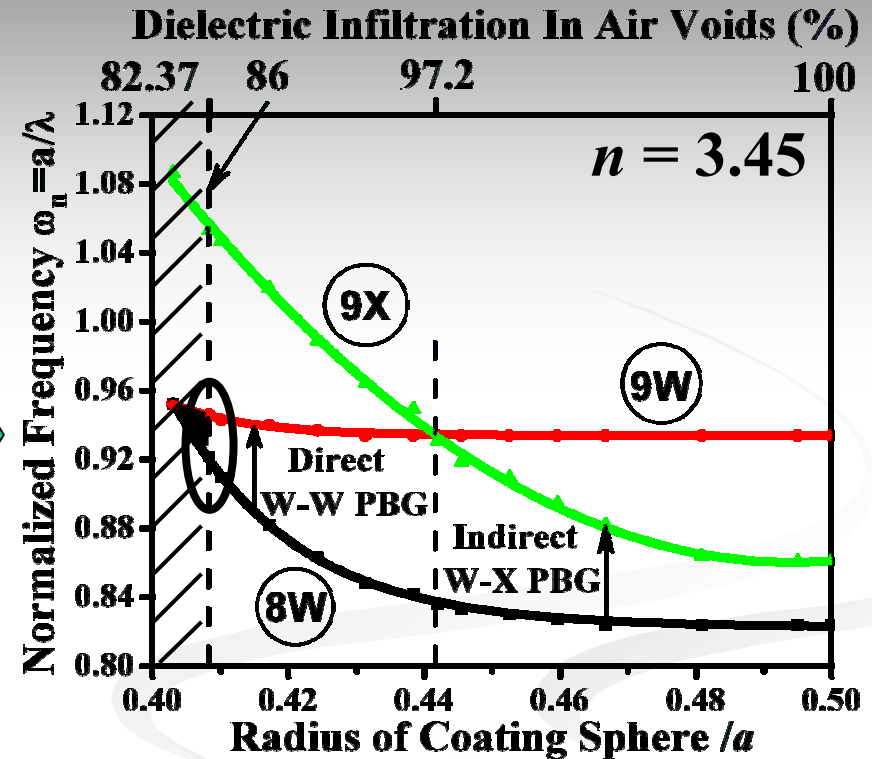
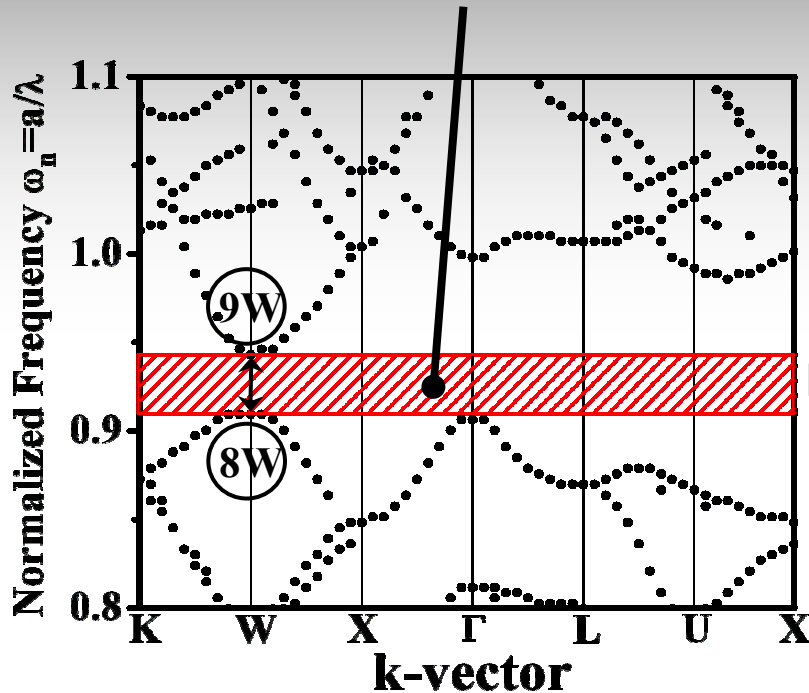
**Inverted anatase  $\text{TiO}_2$  opal in air (2.65/1)**

- **ALD/CVD conformal infiltration steps**
  - 0.05nm/cycle for  $\text{TiO}_2$
- **Fcc structure prevents dielectric volume fraction >86% of interstitial air volume.**
  - Trapped octahedral/tetrahedral air pockets within backbone



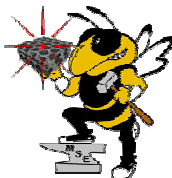
# PBG Mechanisms & Limitations\*

## 8-9 Direct W-W PBG



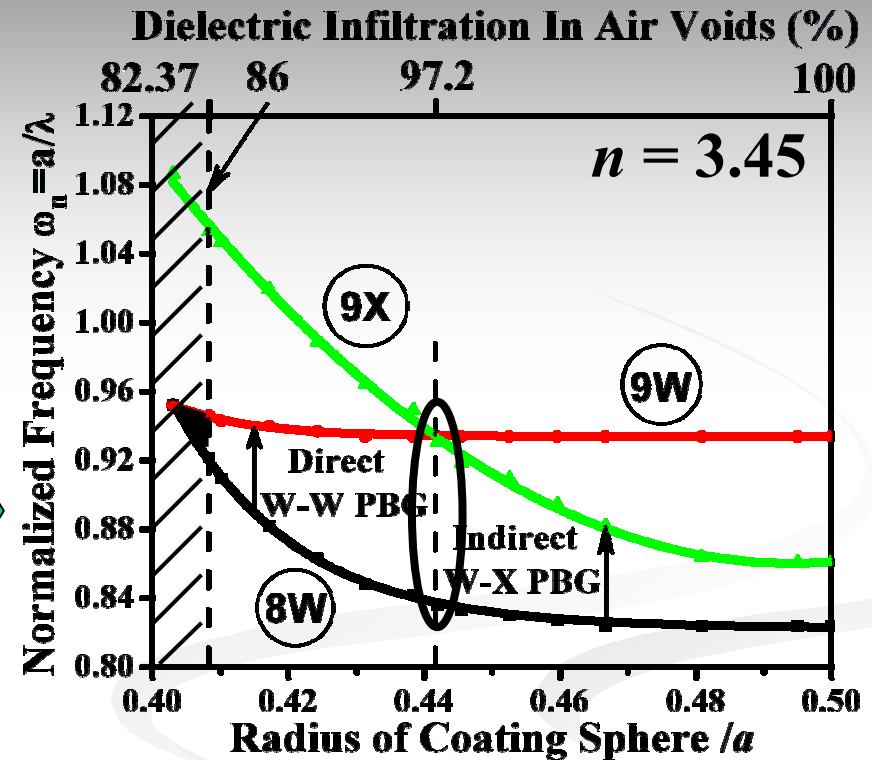
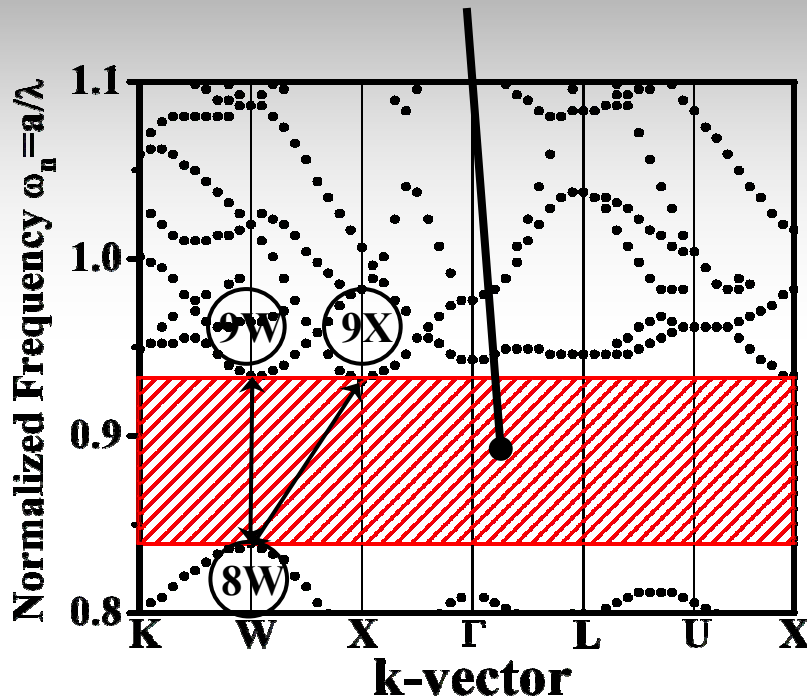
- Narrow complete PBGs ( $n = 3.45$ )
- High refractive index contrast required ( $n > 3.3$ )
- Dielectric volume fraction limited (0 to  $\sim 22\%$ )
- Choice of high index, transparent materials is limited

\*Gaillot *et al.*, Phys. Rev. B (in press)



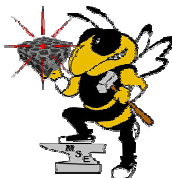
# PBG Mechanisms & Limitations\*

## 8-9 Direct/Indirect W-W/W-X PBG



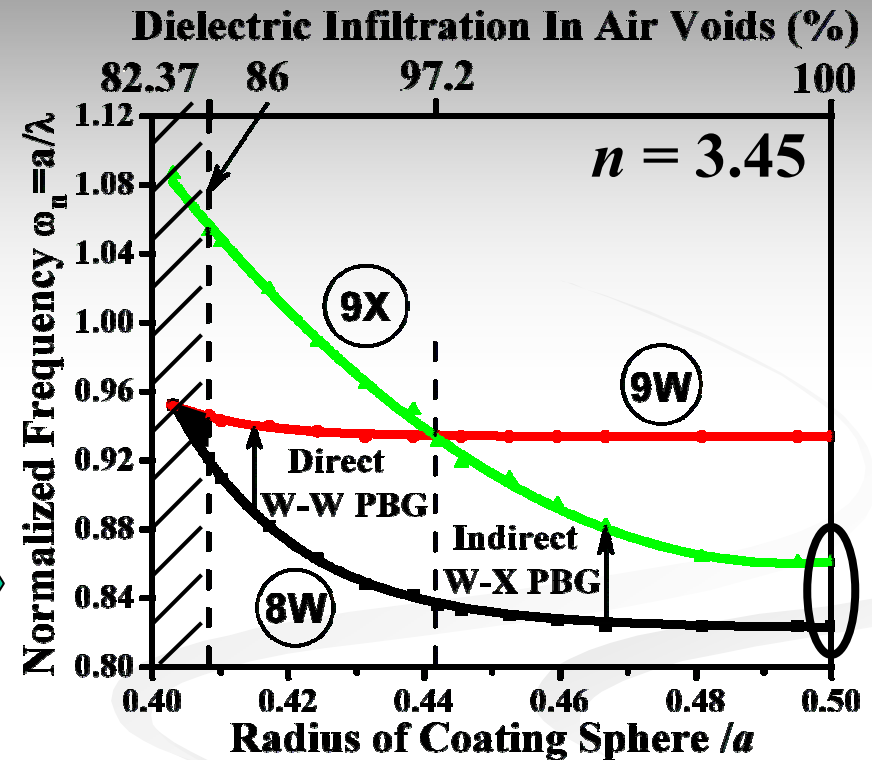
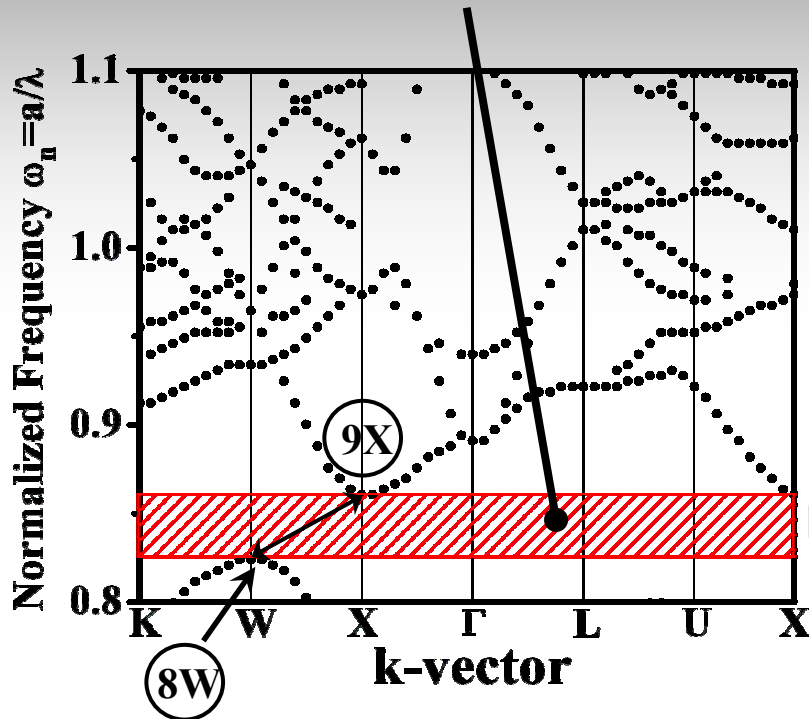
- Narrow complete PBGs ( $n = 3.45$ )
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\*Gaillot *et al.*, Phys. Rev. B (in press)



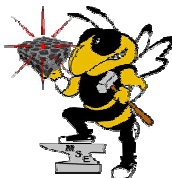
# PBG Mechanisms & Limitations\*

## 8-9 Indirect W-X PBG



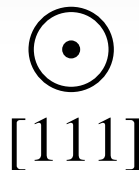
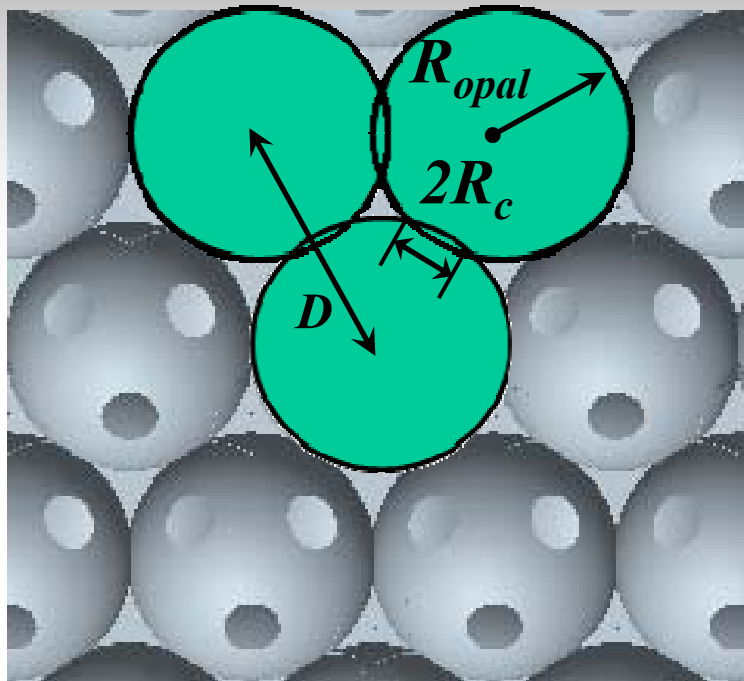
- Narrow complete PBGs ( $n = 3.45$ )
- High refractive index contrast required ( $n > 3.3$ )
- Dielectric volume fraction limited (0 to  $\sim 22\%$ )
- Choice of high index, transparent materials is limited

\*Gaillot *et al.*, Phys. Rev. B (in press)



# Inverse Shell Opals & Inverse Non-Close-Packed Structures

## Inverse Shell Opal

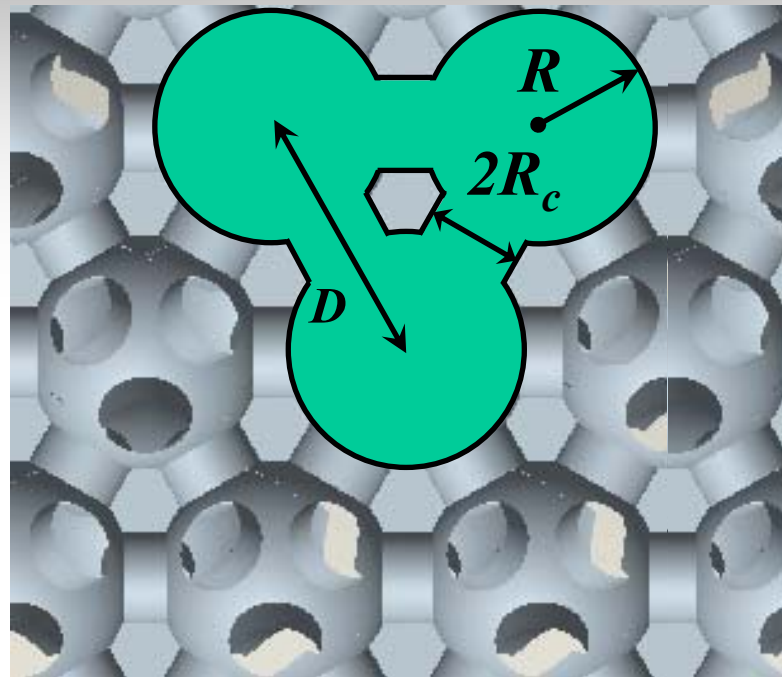


- Air pores formed after template sintering & conformal infiltration
- Limited enhancement of PBG properties
- Studied theoretically & experimentally

\*Busch & John, Phys. Rev. E **58**, 3896 (1998)

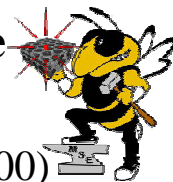
\*John & Busch, J. Light. Technol. **17**, 1931 (1999)

## Inverse Non-Close-Packed



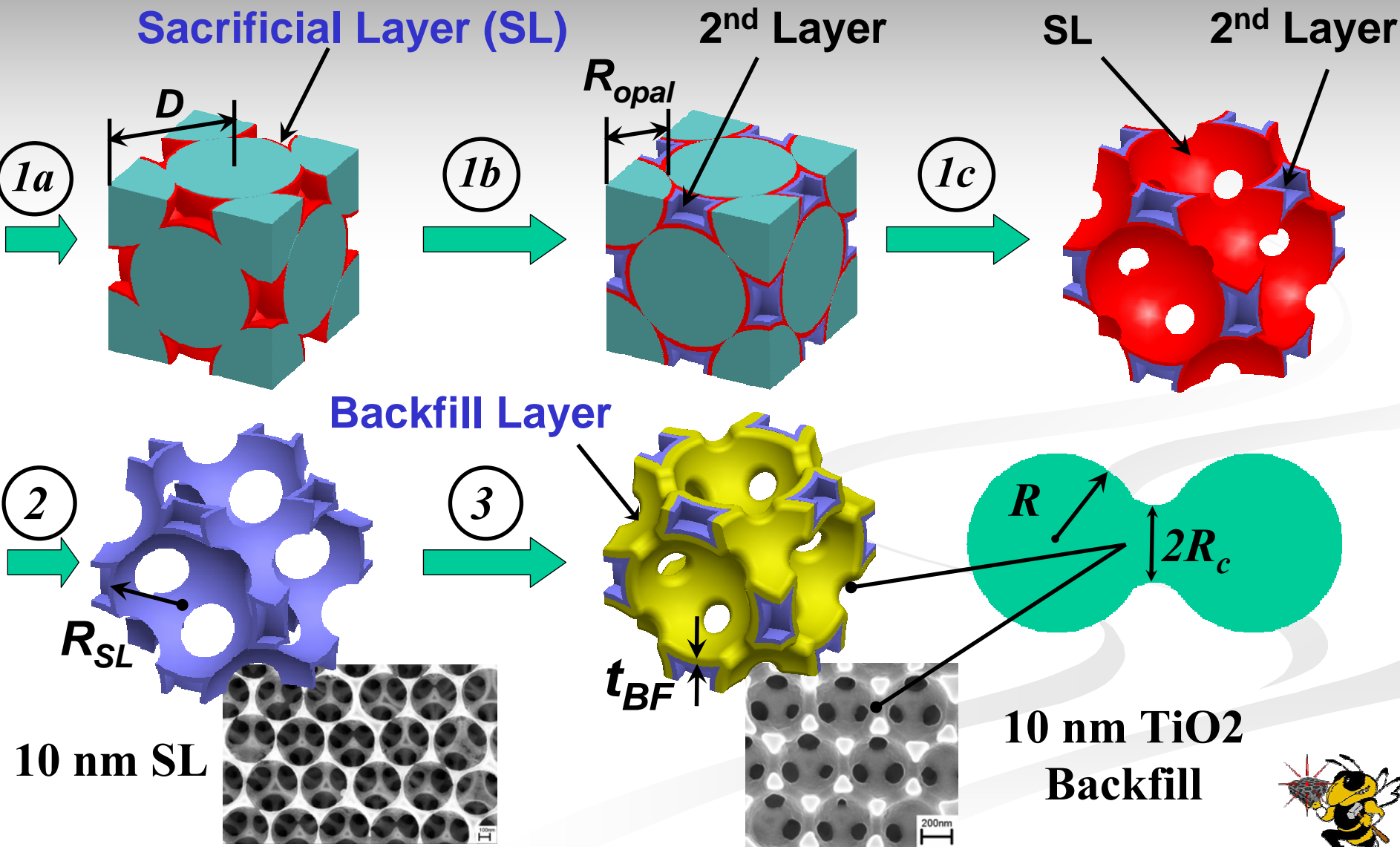
- NCP spheres interconnected w/ tubular channels
- Large enhancement of PBG properties
- Studied theoretically
- How to make and control these structures ?

\*Doosje *et al.*, J. Opt. Soc. Am. B **17**, 600 (2000)

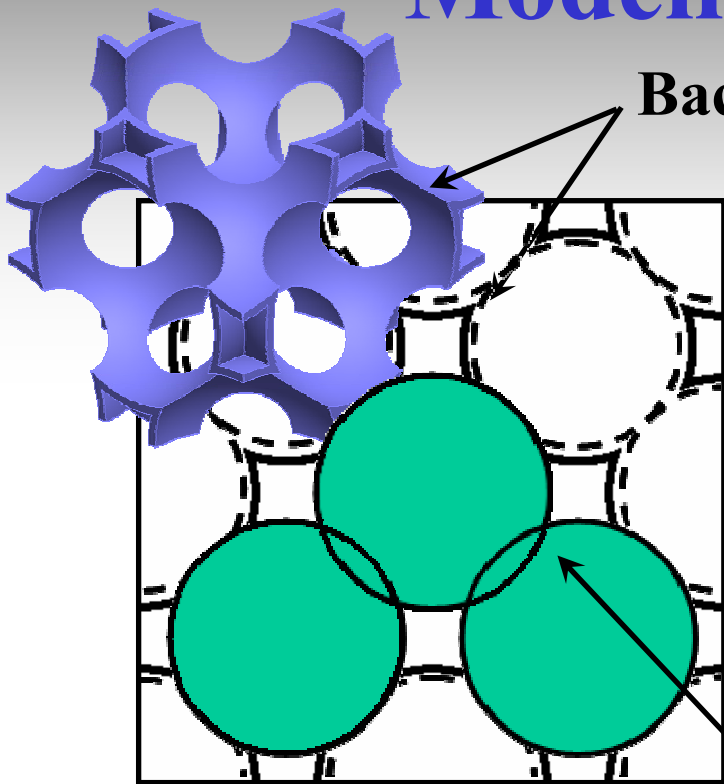




# Inverse Non-Close-Packed Structure: Sacrificial Layer Technique\*



# Inverse NCP Shell Opals Modeling For 3D-FDTD

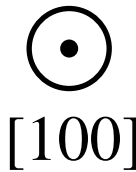


$$R_{SL}/D = 0.5577$$

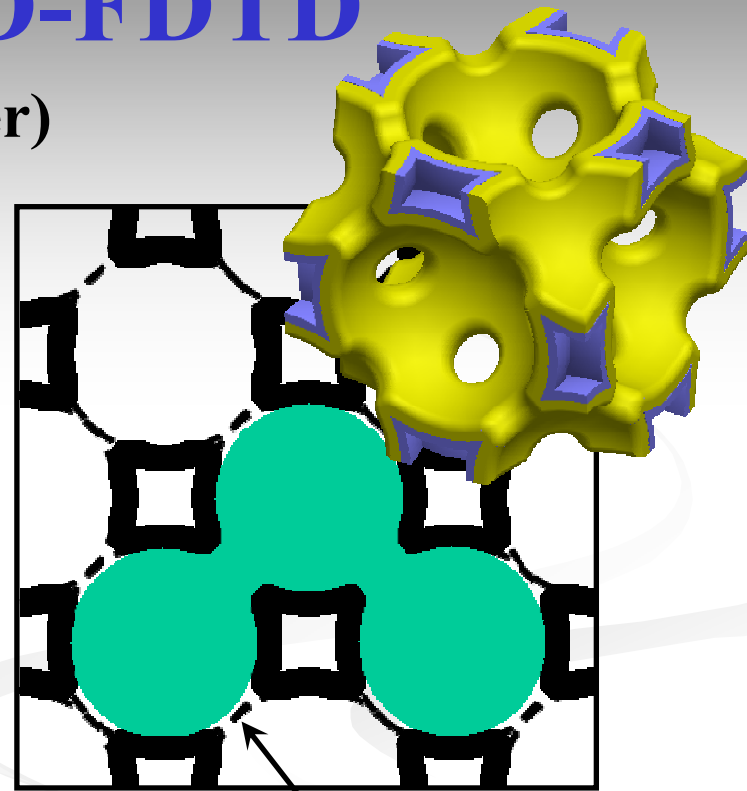
(73.6% infiltration)

**TEMPLATE  
MODIFICATION**

Conformal  
Backfill

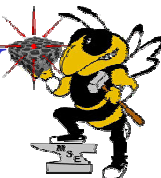


Air Pores

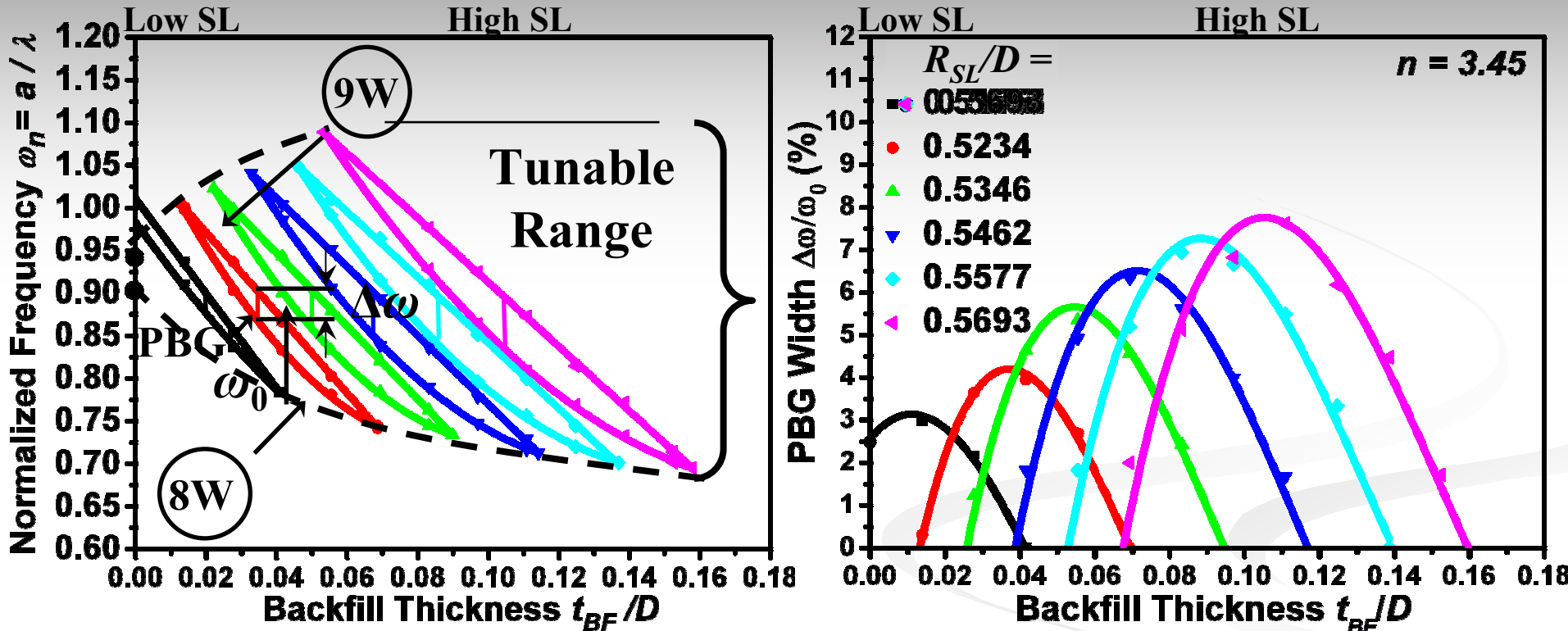


Hyperboloid  
channels

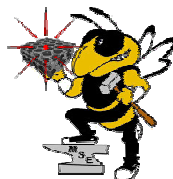
**BACKBONE  
MODIFICATION**



# Photonic Band Diagrams For Inverse Non-Close-Packed Shell Structures

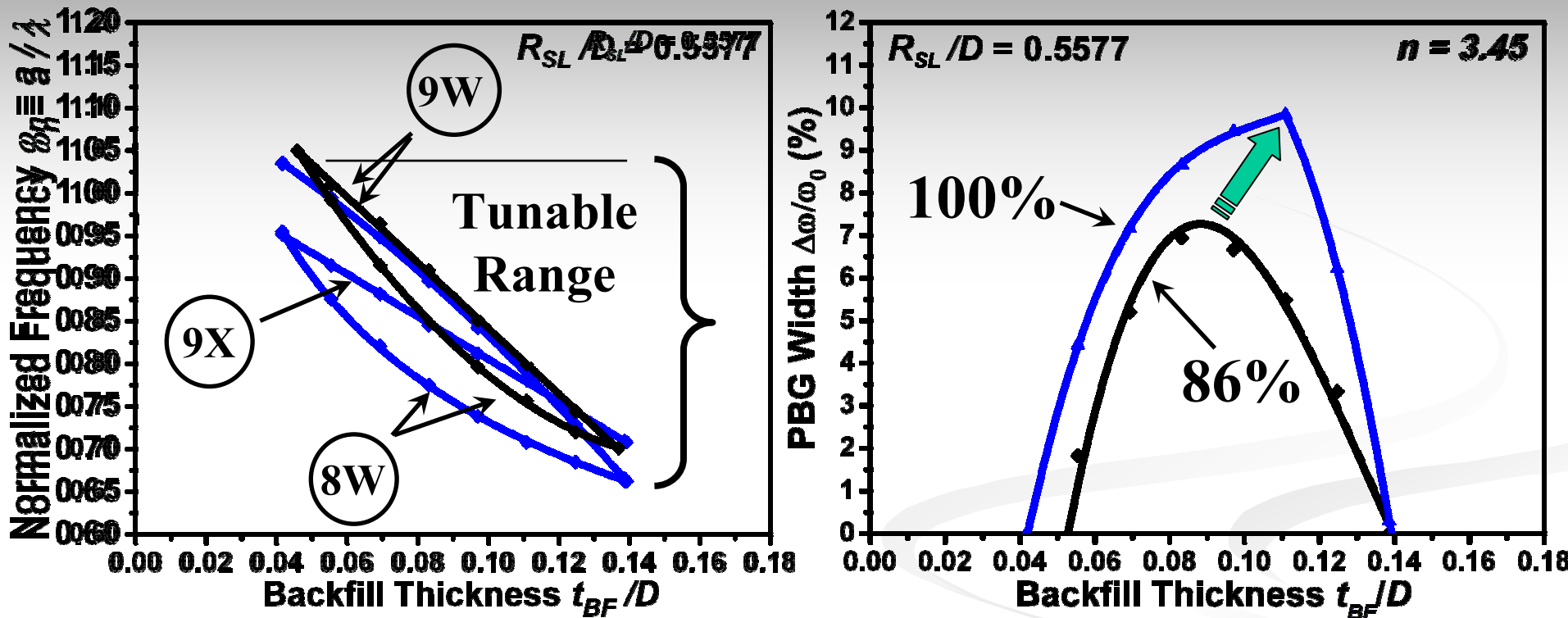


- Large PBG obtained for many sacrificial layer/backfill values
- PBG width & location tuned with backfill infiltration thickness
- **Thinnest backbone template yields**
  - **Maximum PBG width**
  - **Largest PBG tunability (width & location)**



# Photonic Band Diagrams:

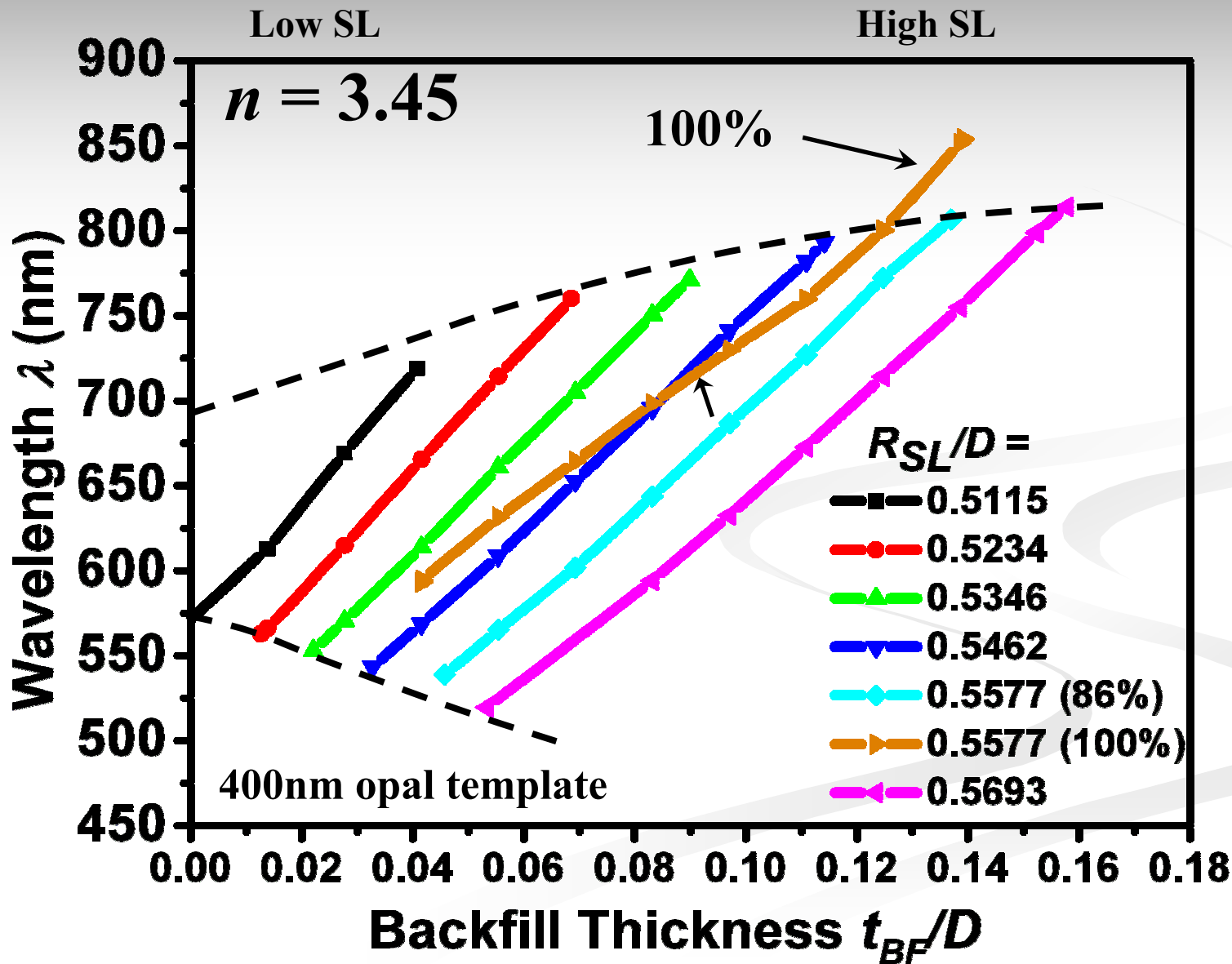
## 86% Vs. 100% Infiltrated Inverse NCP Structures



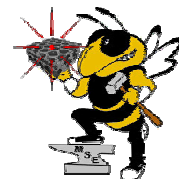
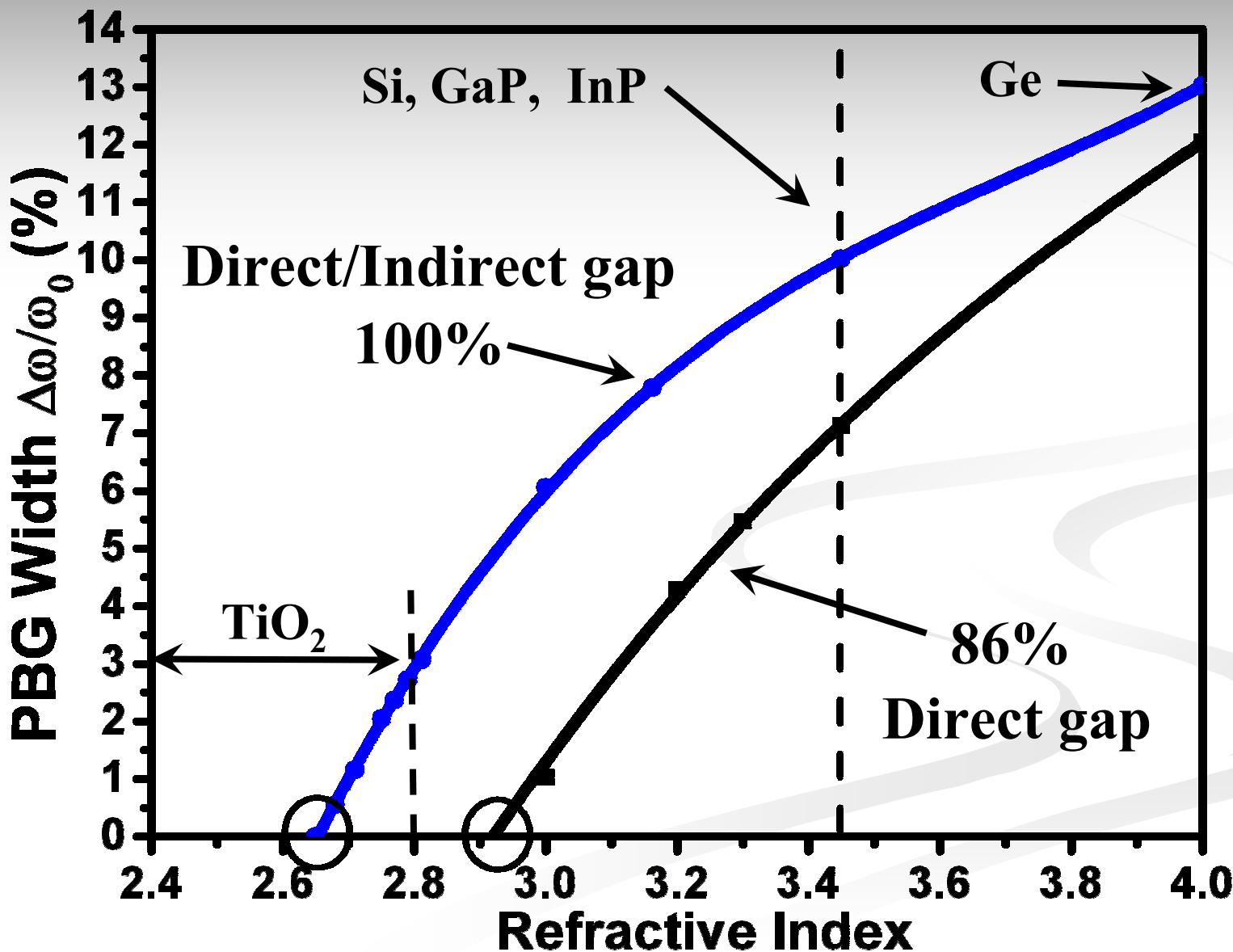
- 100% infiltrated structure yields
  - PBG behavior driven by two mechanisms
  - Higher PBG width value
  - Similar tunability range compared w/ 86% infiltrated structures



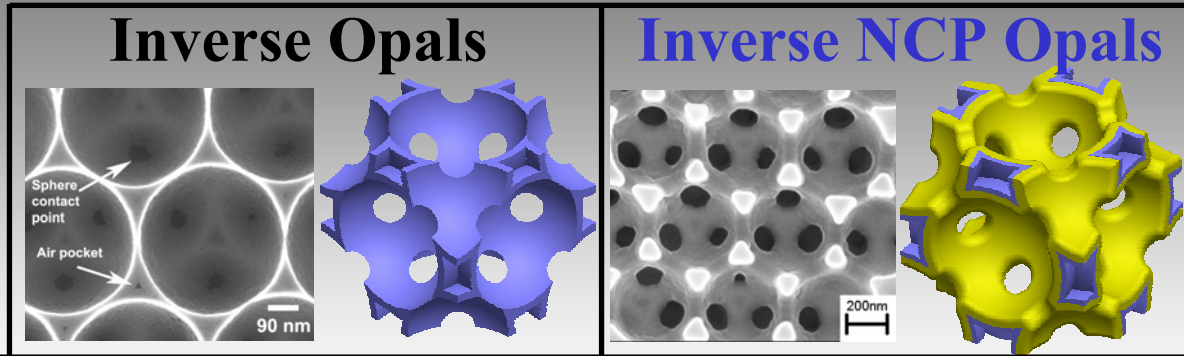
# Wavelength Tunability Vs. Conformal Backfill



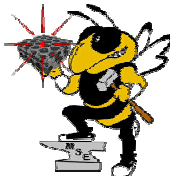
# Dependence of PBG on Refractive Index For Best Structures



# Summary

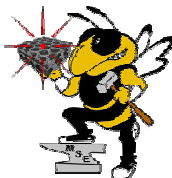


<b>PBG Width (%)</b> for $n=3.45$	<b>86%</b>	$\sim 2.5$	$\sim 7.5$
	<b>100%</b>	$\sim 4.5$	$\sim 10$
<b>RIR</b>	<b>86%</b>	$> 3.3$	$> 2.9$
	<b>100%</b>	$> 3.0$	$> 2.6$
<b>PBG Tunability</b>		<b>Limited</b> <ul style="list-style-type: none"> <li>• Template size</li> <li>• Sintering</li> <li>• Material</li> <li>• Infiltration %</li> </ul>	<b>High</b> <ul style="list-style-type: none"> <li>• Template size</li> <li>• Material</li> <li>• SL thickness</li> <li>• Infiltration %</li> <li>• Backfill thick.</li> </ul>



# Conclusions

- **Conformal SL/Backfill technique offers:**
  - **High structural control of the template**
    - Air pores tunability & open structure:  $R_{SL}$
    - High degree of overlap w/o change in lattice constant
  - **High structural control of the backbone template**
    - High filling fraction with conformal backfill:  $t_{BF}$
  - **LOW-COST, RELIABLE & LARGE-SCALE UNIFORMITY**
- **Complete PBG properties framework introduced**
  - Largest PBG width & smallest RIR
  - Highest PBG tunability (width & location)
- **100% infiltrated structures yield better PBG properties**





# Acknowledgements

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**Thank You!!!!!!!!!!**

